



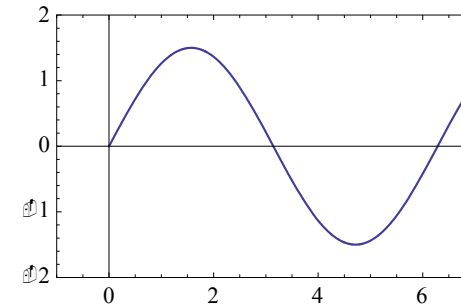
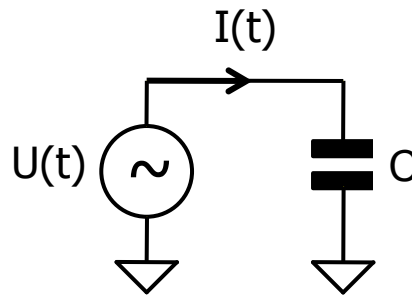
# AC BEHAVIOR OF COMPONENTS



# AC Behavior of Capacitor

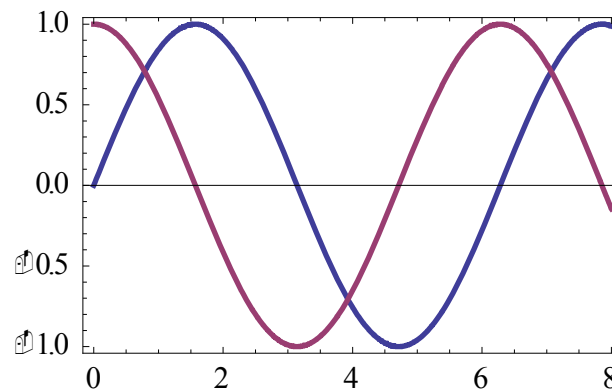
- Consider a capacitor driven by a sine wave voltage:

$$U(t) = U_0 \sin(\omega t + \varphi)$$



- The current:  $I(t) = C \frac{dU(t)}{dt} = C U_0 \omega \cos(\omega t + \varphi)$

is shifted by  $90^\circ$  ( $\sin \leftrightarrow \cos$ )!





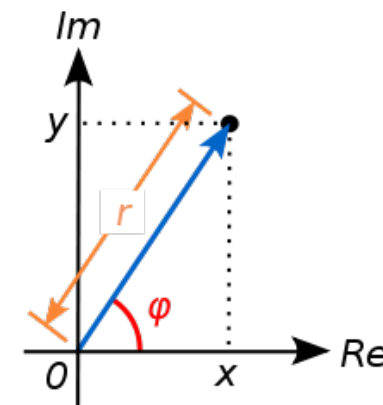
# Complex Impedance

- To simplify our calculations, we would like to extend the relation  $R= U/I$  to capacitors, using an **impedance**  $Z_C$  .
- In order to get the **phase** right, we use **complex** quantities:

$$U(t) = U_0 \sin(\omega t + \varphi) \quad \rightsquigarrow \quad U_0 \cdot e^{i(\omega t + \varphi)} = U_0 [\cos(\omega t + \varphi) + i \sin(\omega t + \varphi)]$$

for voltages and currents.

- By mixing complex and real parts, we can mix  $\sin()$  and  $\cos()$  components and therefore influence the phase.
- Note: Often 'j' is used instead of 'i' for the complex unit, because 'i' is used as current symbol...
- Often 's' is used for  $i\omega$  (or  $j\omega$ )

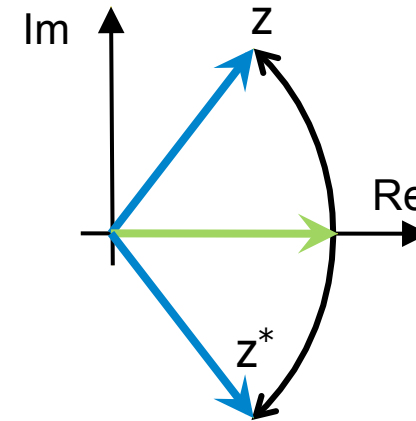




# From Complex Values back to Real Quantities

- To find ('back') the **amplitude** of such a complex signal, we calculate the length (**magnitude**) of the complex vector as

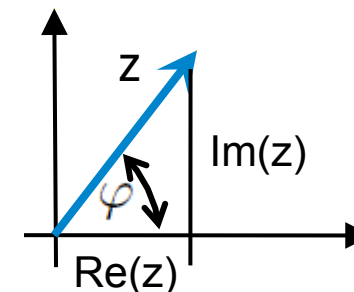
$$a = \sqrt{z z^*}$$



- To get the **phase**, we use real and imaginary parts:

$$\varphi = \text{atan} \left( \frac{\text{Im}(z)}{\text{Re}(z)} \right)$$

Note: this simple formula works only in 2 quadrants. You may have to look at signs of  $\text{Re}(z)$  and  $\text{Im}(z)$





# Hints for Mathematica

- Mathematica knows complex arithmetic
- Useful Functions are **Abs[ ]** and **Arg[ ]**
  - Remember: Imaginary Unit is typed as **ESC i i ESC**
- If you want to simplify expression, M. has to **know** that expressions like  $\omega$ , R, C, U are **real**.

- This can be done with Assumptions:

- Sometimes **ComplexExpand[ ]** can be used. It assumes all arguments are real (but not necessarily  $> 0$ ):

```
{Abs[i ω], Arg[i ω]} // ComplexExpand  
{ $\sqrt{\omega^2}$ , Arg[i ω]}
```

```
$Assumptions = True;  
{Abs[i ω], Arg[i ω]}  
{Abs[ω], Arg[i ω]}  
  
{Abs[i ω], Arg[i ω]} // FullSimplify  
{Abs[ω], Arg[i ω]}  
  
$Assumptions = ω > 0;  
{Abs[i ω], Arg[i ω]} // Simplify  
{ω,  $\frac{\pi}{2}$ }
```



# Complex Impedance of the Capacitor

- We know that

$$I(t) = C \frac{dU(t)}{dt}$$

- With  $U(t) = U_0 \cdot e^{i(\omega t + \varphi)}$

we have  $I(t) = CU'(t) = C \cdot U_0 \cdot i\omega \cdot e^{i(\omega t + \varphi)}$

- Therefore

$$Z_C = \frac{U(t)}{I(t)} = \frac{1}{i\omega C} = \frac{1}{sC}$$

- Similar:

$$Z_L = i\omega L = sL$$

The impedance of a capacitor becomes very small at high frequencies



## Checking this for a Capacitor

- For an input voltage (sine wave of freq.  $\omega$ ) with phase = 0

$$U(t) = U_0 e^{i\omega t}$$

we have

$$I(t) = \frac{U(t)}{Z_C} = U_0 e^{i\omega t} \cdot i\omega C$$

- The amplitude of  $I(t)$  is

$$\begin{aligned} |I| &= \sqrt{I(t)I^*(t)} \\ &= \sqrt{U_0 e^{i\omega t} \cdot i\omega C \times U_0 e^{-i\omega t} \cdot (-i)\omega C} \\ &= \sqrt{U_0^2 e^{i\omega t} e^{-i\omega t} \cdot (i\omega C)(-i\omega C)} \\ &= U_0 \omega C \end{aligned}$$

- The phase is:

$$\varphi = \text{atan} \left( \frac{\omega C}{0} \right) = \text{atan}(\infty) = \frac{\pi}{2}$$

- We have dropped the time variant part and the constant  $U_0$



## Simplifying even more

- As we have just seen, the  $U(t) = U_0 e^{i\omega t}$  propagates trivially to the output.
- We therefore drop this part and just use '1'!





## Recipe to Calculate Transfer Functions

- Replace all component by their complex impedances ( $1/(sC)$ ,  $sL$ ,  $R$ )
- Assume a unit signal of '1' at the input

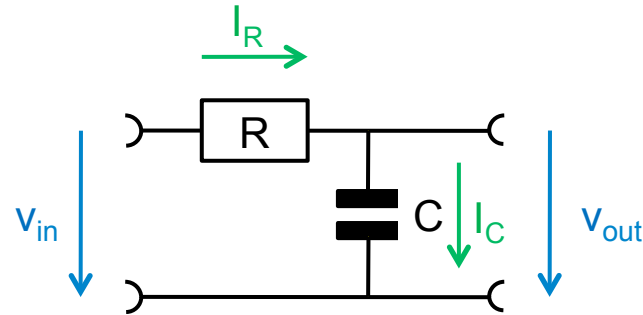
(in reality it is  $U(t) = U_0 e^{i\omega t}$  )

- Write down all node current equations or current equalities using Kirchhoff's Law (they depend on  $s$ )
  - You need  $N$  equations for  $N$  unknowns
- Solve for the quantity you are interested in (most often  $V_{out}$ )
- Analyze the result (amplitude / phase / ...)



## Example: Low Pass

- Consider



- We have only *one* unknown:  $v_{out}$

- Current equality at node  $v_{out}$ :  $\frac{V_{in} - V_{out}}{R} = I_R = I_C = v_{out} s C$

- Solve for  $v_{out}$ :  
$$V_{in} - V_{out} = v_{out} s C R$$
$$V_{in} = v_{out} (1 + s C R)$$
$$\frac{V_{out}}{V_{in}} = H(s) = \frac{1}{1 + s C R}$$



## Mathematica Hint

- Write down each node equation (here only 1):

$$\text{EQ1} = \frac{v_{in} - v_{out}}{R} == v_{out} s C;$$

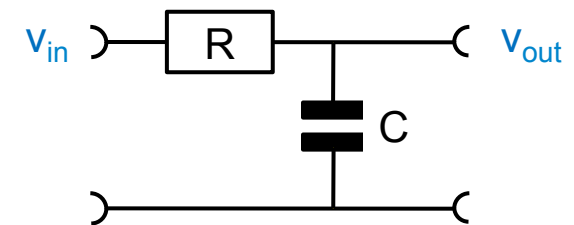
- Solve them:

```
Solve[EQ1, vout] // First
```

$$\left\{ v_{out} \rightarrow \frac{v_{in}}{1 + C R s} \right\}$$

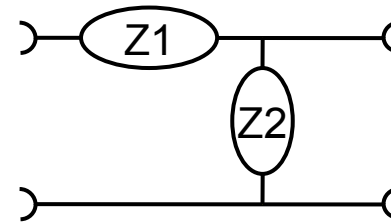
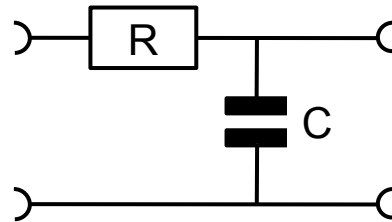
- Define a transfer function:

$$H[s_] = \frac{v_{out}}{v_{in}} /. \% \\ \frac{1}{1 + C R s}$$





## Low Pass as 'complex' voltage divider



- This is an 'ac' voltage divider with two impedances  $Z_1 = R$  and  $Z_2 = 1/sC$
- Using the voltage divider formula, we get

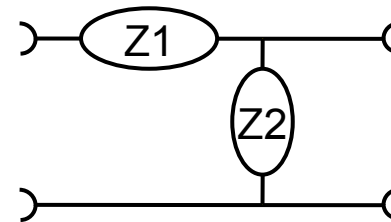
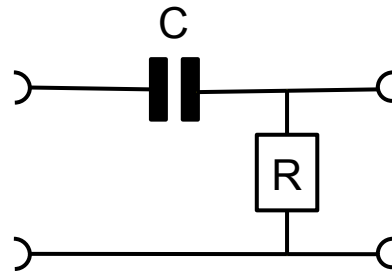
$$H(s) = \frac{V_{\text{out}}}{V_{\text{in}}} = \frac{Z_2}{Z_2 + Z_1} = \frac{\frac{1}{sC}}{\frac{1}{sC} + R} = \frac{1}{1 + sRC} = \frac{1}{1 + i\frac{\omega}{\omega_0}}$$

with  $\omega_0 = 1/(RC)$ , the 'corner frequency'.



# The HIGH Pass

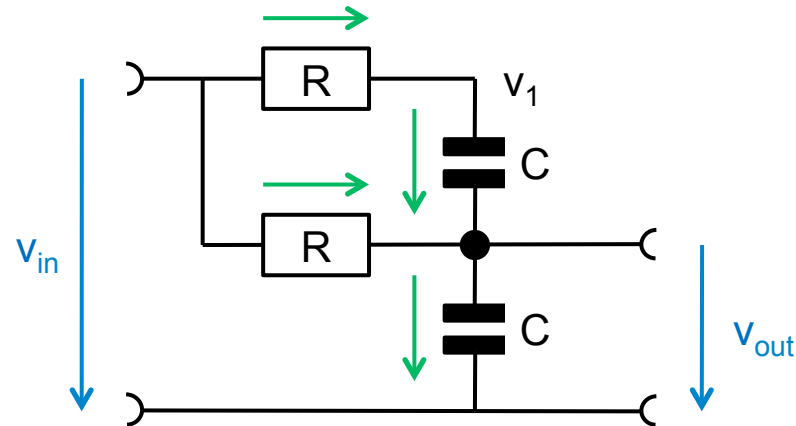
- By exchanging R and C, low frequencies are blocked and high frequencies pass through. This is the High-Pass.



- We get  $H_{HP}(s) = \frac{R}{R + \frac{1}{sC}} = \frac{sRC}{1 + sRC}$



## More Complicated Example



- We have now *two* unknowns:  $v_1$ ,  $v_{out}$

$$EQ1 (@v_1) : \frac{v_{in} - v_1}{R} = (v_1 - v_{out})sC$$

$$EQ2 (@v_{out}) : (v_1 - v_{out})sC + \frac{v_{in} - v_{out}}{R} = v_{out} sC$$

- Eliminating  $v_1$  gives:

$$H(s) = \frac{1 + 2RC s}{1 + 3RC s + (RC)^2 s^2}$$

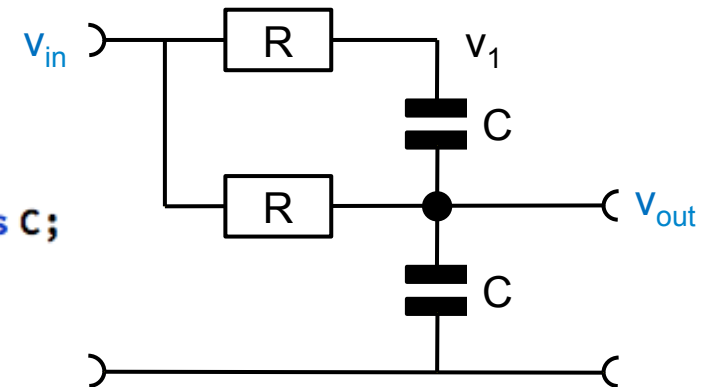


# Mathematica Steps

- Node equation (here 2):

$$EQ1 = \frac{v_{in} - v_1}{R} == (v_1 - v_{out}) s C;$$

$$EQ2 = \frac{v_{in} - v_{out}}{R} + (v_1 - v_{out}) s C == v_{out} s C;$$



- Solve them:

`Solve[{EQ1, EQ2}, {vout, v1}] // First`

$$\left\{ v_{out} \rightarrow -\frac{-v_{in} - 2CRsv_{in}}{1 + 3CRs + C^2R^2s^2}, v_1 \rightarrow \frac{(1 + 3CRs)v_{in}}{1 + 3CRs + C^2R^2s^2} \right\}$$

- Define a transfer function:

$$H[s_] = \frac{v_{out}}{v_{in}} /. \% // Simplify$$

$$\frac{1 + 2CRs}{1 + 3CRs + C^2R^2s^2}$$



# Mathematica Steps

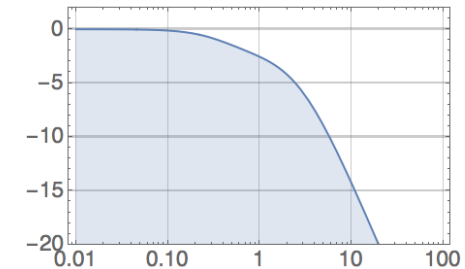
- Replace  $s$  by  $i \omega$
- Calculate (squared) gain as absolute value

```
gain2 = H[i ω] Conjugate[H[i ω]] // ComplexExpand // Simplify
```

$$\frac{1 + 4 C^2 R^2 \omega^2}{1 + 7 C^2 R^2 \omega^2 + C^4 R^4 \omega^4}$$

- To plot, convert to dB (sqrt leads to factor 10 instead of 20)

```
LogLinearPlot[10 Log[10, gain2] /. {R → 1, C → 1}, {ω, 0.01, 100},  
PlotRange → {-20, 2}, Filling → -20]
```



- For phase, better use `ArcTan[Re,Im]` to get quadrant right

```
LogLinearPlot[ $\frac{180}{\pi}$  ArcTan[Re[H[i ω]], Im[H[i ω]]] /. {R → 1, C → 1}, {ω, 0.01, 100}]
```



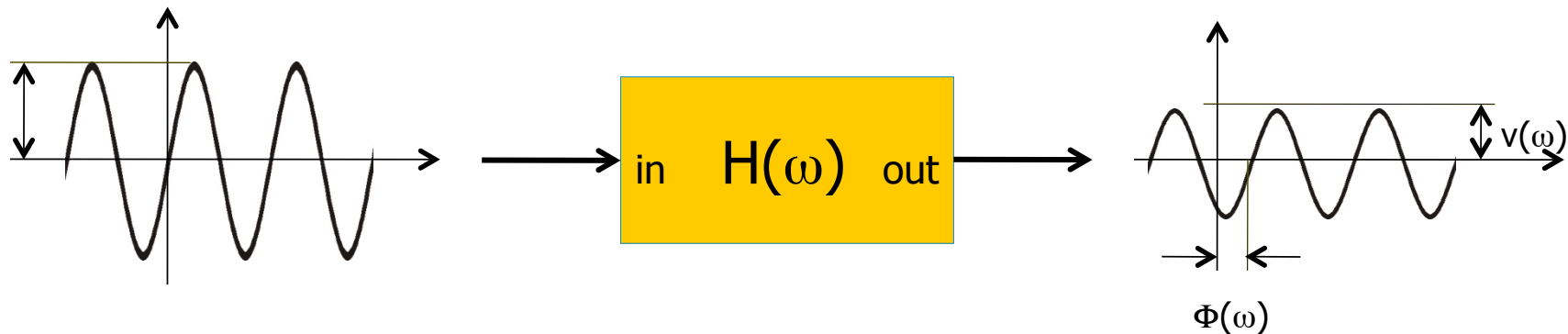


# BODE PLOT



# Transfer Function

- The **transfer function** of a *linear, time invariant* system visualizes how the **amplitude** and **phase** of a **sine wave** input signal of **constant frequency**  $\omega$  appears at the output
- The frequency remains unchanged
- The transfer function  $H(\omega)$  contains
  - The phase change  $\Phi(\omega)$
  - The gain  $v(\omega) = \text{amp\_in} / \text{amp\_out}(\omega)$





# Bode Diagram: Definition

- The Bode Plot shows gain (+ phase) of the transfer function
- The frequency (x-axis) is plotted **logarithmically**
- Gain is plotted (y-axis) **logarithmically**, often in **decibel**

- $DB(x) = 20 \log_{10}(x)$ :

$\times 10$     +20 dB

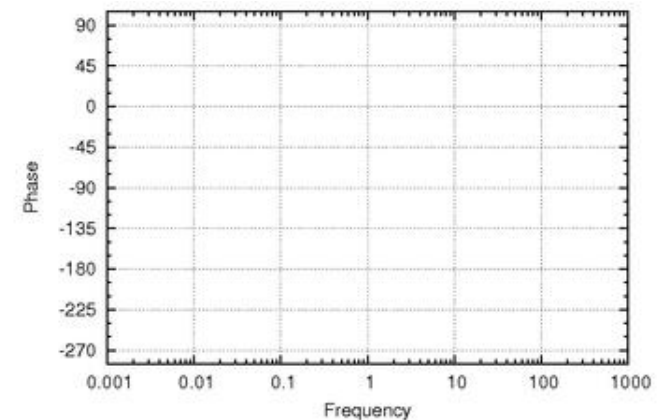
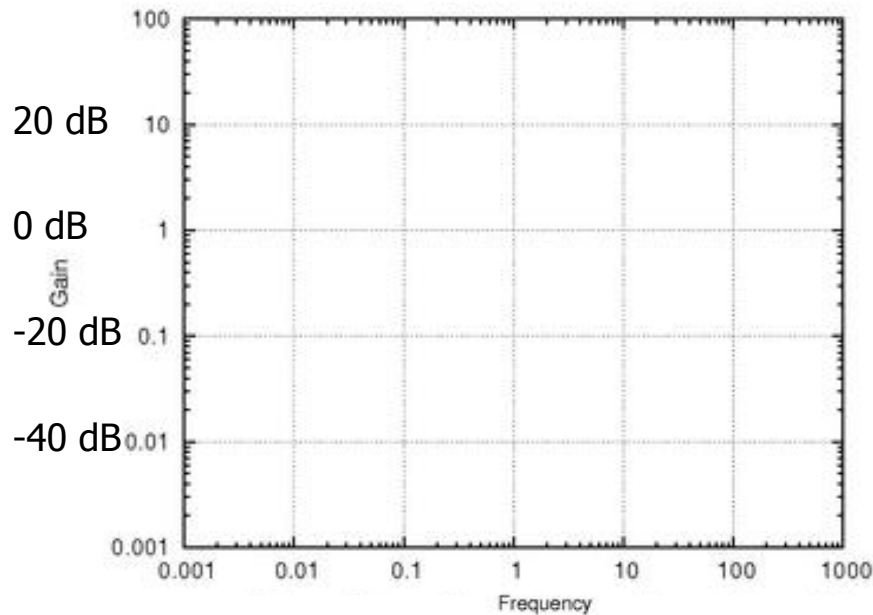
$\times 100$    +40 dB

$\times 2$         6 dB (not exactly!)

$\times 1$         0 dB

$/ 2$         -6 dB

$/ \sqrt{2}$      -3 dB



- dBs for multiplied quantities just add !

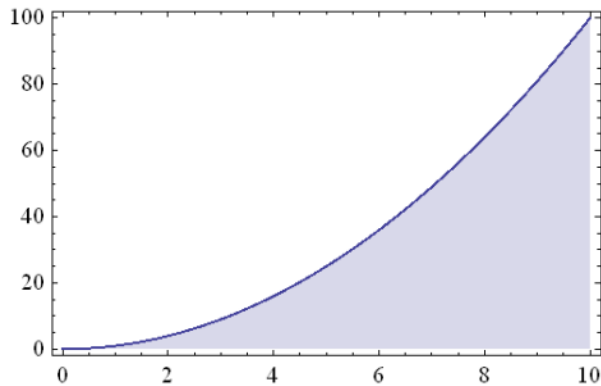


# Bode Diagram: Properties

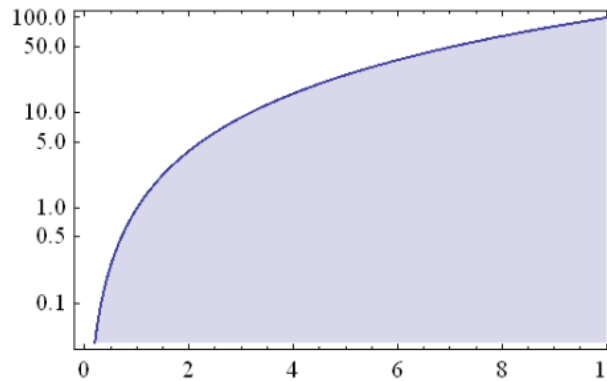
- Power functions are straight lines:

$$f(x) = x^n \Rightarrow \log[f(x)] = n \log(x)$$

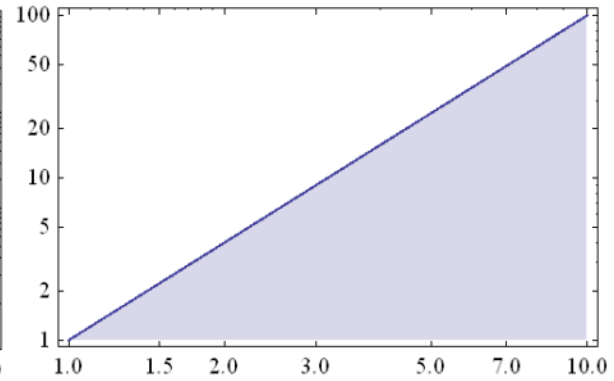
Plot[x<sup>2</sup>,{x,0,10}]



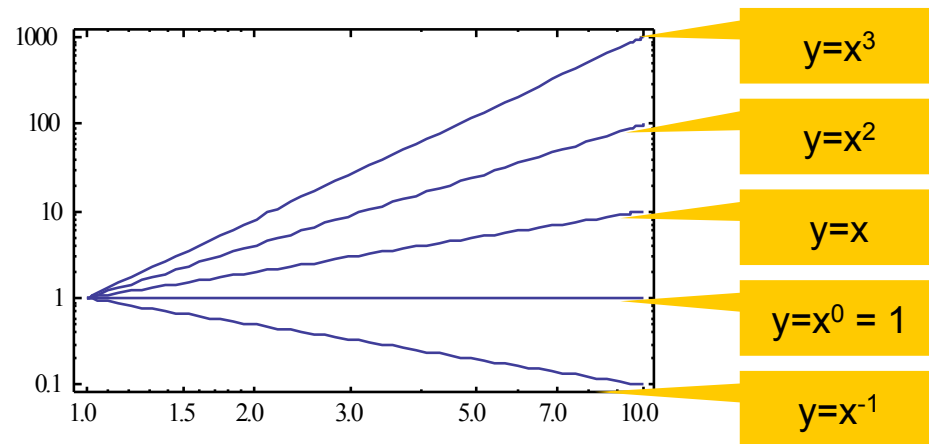
LogPlot[x<sup>2</sup>,{x,0,10}]



LogLogPlot[x<sup>2</sup>,{x,1,10}]



LogLogPlot[Table[x<sup>N</sup>,{N,-1,3}],{x,1,10}]





# Bode Diagram: Properties

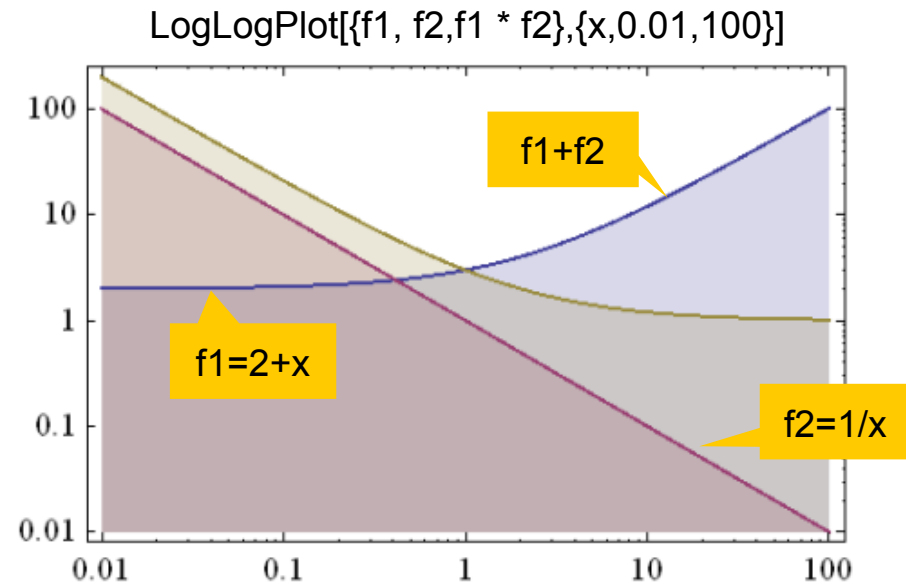
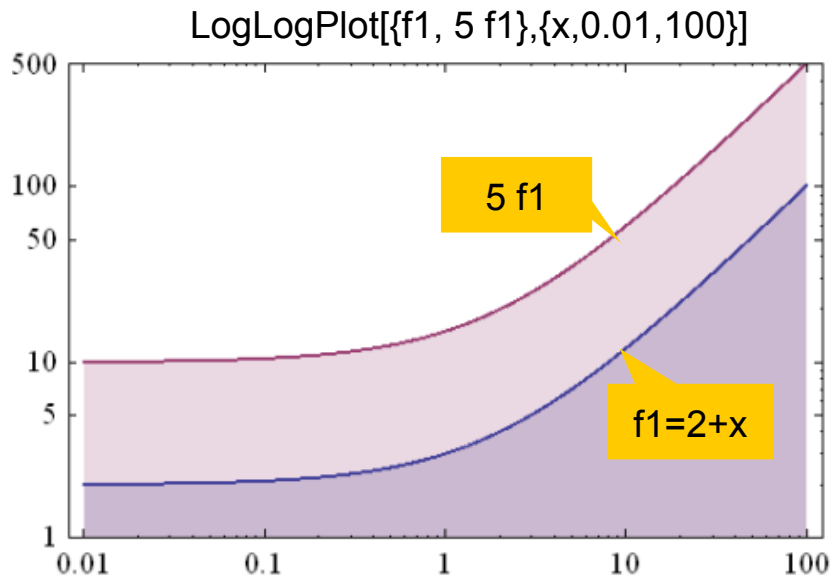
- $1/x$  function has slope  $-1$ :

$$f(x) = \frac{1}{x} = x^{-1} \Rightarrow \log[f(x)] = -1 \log(x)$$

- Multiplied functions are **added** in plot:

$$f = f_1 \cdot f_2 \Rightarrow \log[f] = \log(f_1) + \log(f_2)$$

$f_1=2+x; f_2=x^{-1};$





# THE LOW PASS FILTER



# Analysis of the Low Pass Transfer Function

▪ **Transfer Function:** 
$$H(\omega) = \frac{1}{1 + i\frac{\omega}{\omega_0}}$$

▪ **Magnitude:** 
$$v(\omega) = \sqrt{H(\omega)H^*(\omega)} = \frac{1}{\sqrt{(1 + i\frac{\omega}{\omega_0})(1 - i\frac{\omega}{\omega_0})}}$$

$$v(\omega) = \frac{1}{\sqrt{(1 + \frac{\omega^2}{\omega_0^2})}}$$

→ 1 for  $\omega \rightarrow 0$

→  $\frac{1}{\sqrt{2}}$  for  $\omega = \omega_0$

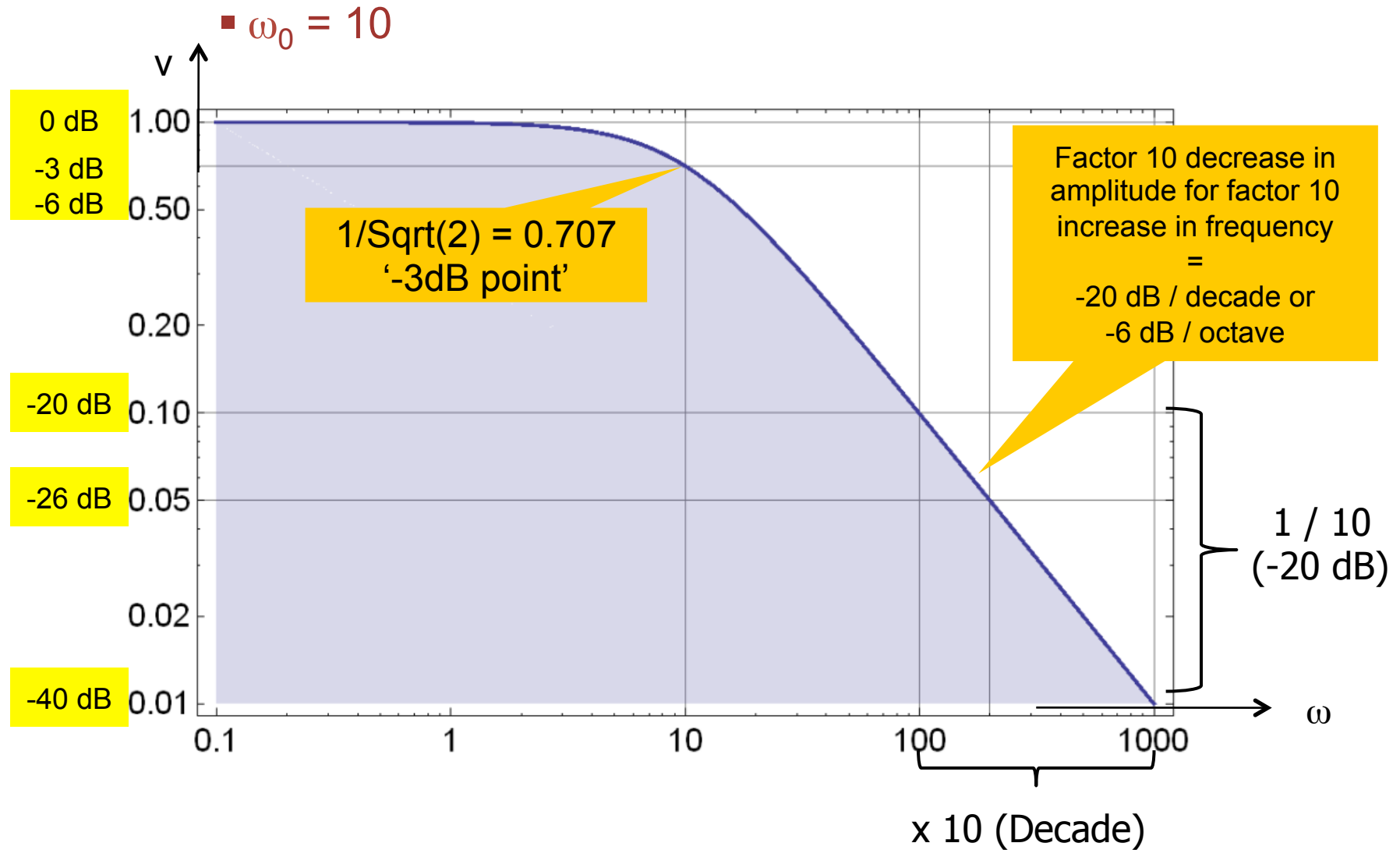
→  $\frac{\omega_0}{\omega}$  for  $\omega \rightarrow \infty$

▪ **Phase:** 
$$H(\omega) = \frac{1}{1 + i\frac{\omega}{\omega_0}} = \frac{1}{1 + i\frac{\omega}{\omega_0}} \times \frac{1 - i\frac{\omega}{\omega_0}}{1 - i\frac{\omega}{\omega_0}} = \frac{1 - i\frac{\omega}{\omega_0}}{1 + \frac{\omega^2}{\omega_0^2}}$$

$$\varphi = \text{atan} \left( \frac{\text{Im}(H)}{\text{Re}(H)} \right) = -\text{atan} \left( \frac{\omega}{\omega_0} \right) \quad (\text{rad or degree})$$



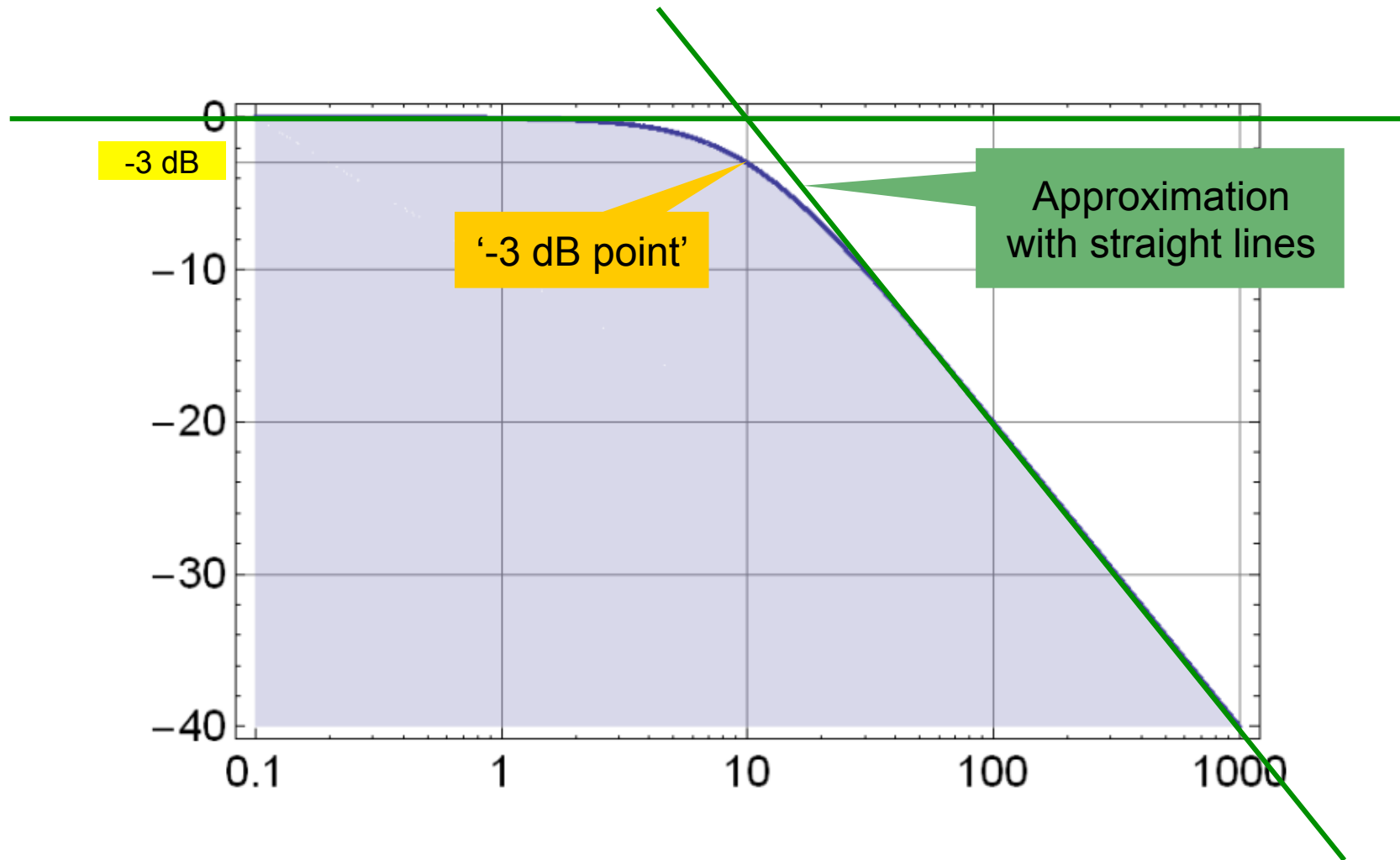
# Bode Plot of LowPass (Amplitude)







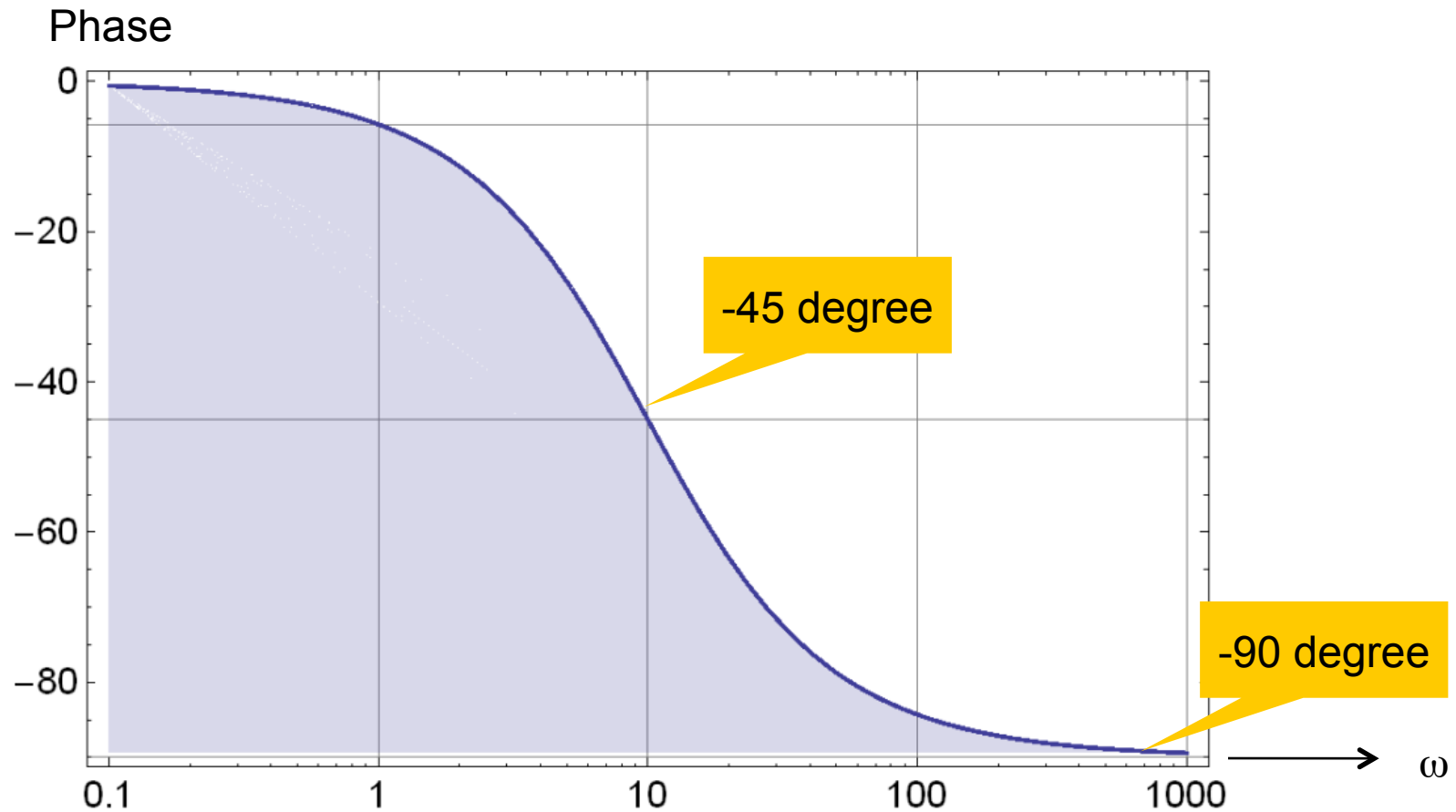
# The same in dB





# Bode Plot of LowPass (Phase)

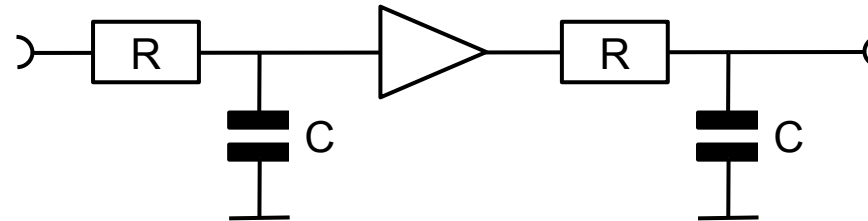
- $\omega_0 = 10$
- Lin-Log Plot!



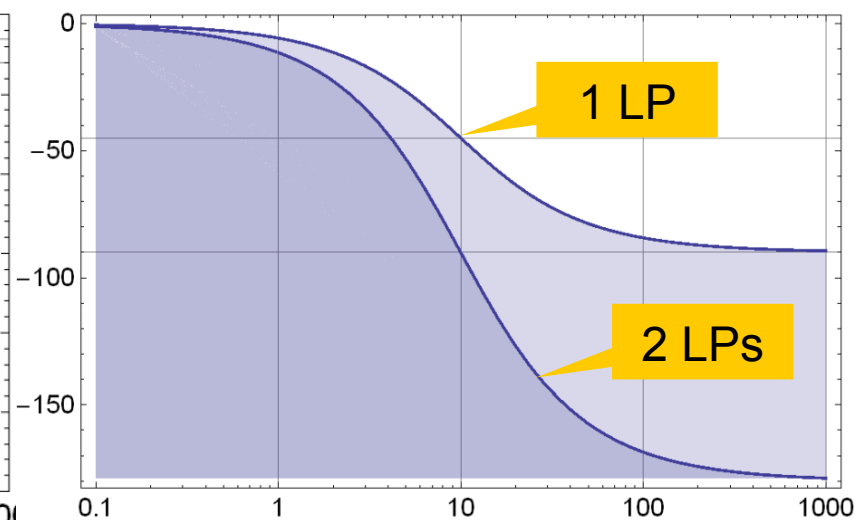
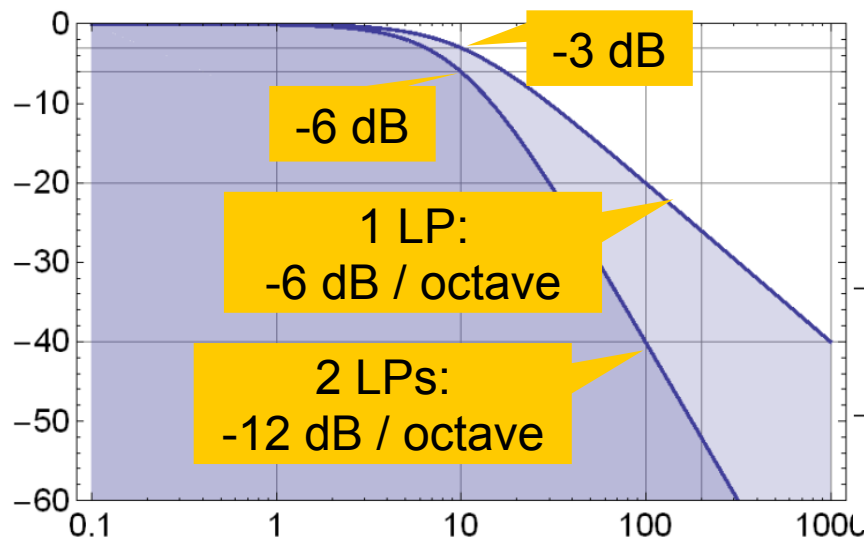


# Series Connection of two Low Pass Filters

- Consider two identical LP filters. A 'unit gain buffer' makes sure that the second LP does not load the first one:



- From the properties of the LogLog Plot, the TF of the 2<sup>nd</sup> order LP is just the sum of two 1<sup>st</sup> order LPs:





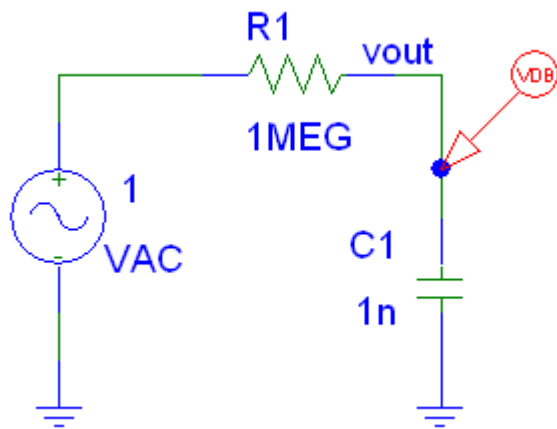
## Why bother so much about the low pass ?

- All circuits behave like low-passes (at some frequency)!

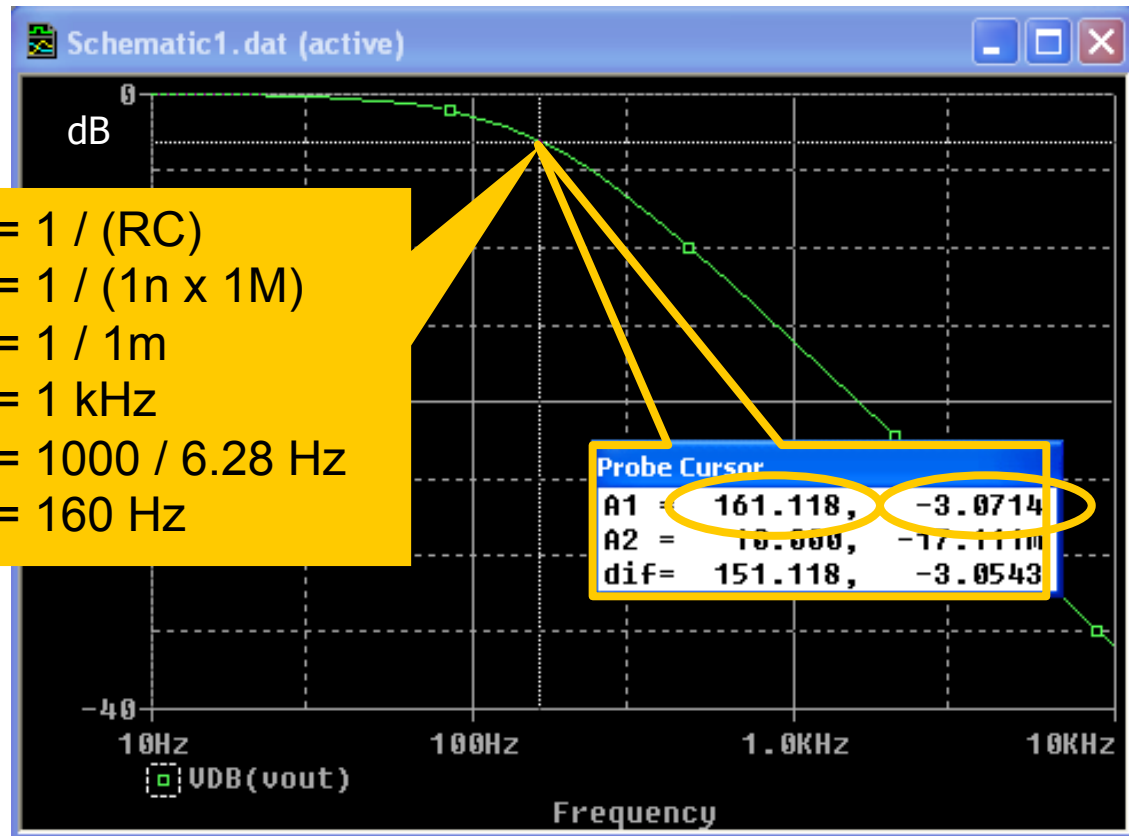


# Caveat!

- So far, frequency is expressed with  $\omega$ , i.e. in radian / second
- We have:  $\omega = 2 \pi \nu$
- Therefore, the frequencies in Hertz are  $2\pi$  lower!!!



$$\begin{aligned} \omega_0 &= 1 / (RC) \\ &= 1 / (1n \times 1M) \\ &= 1 / 1m \\ &= 1 \text{ kHz} \\ \nu &= 1000 / 6.28 \text{ Hz} \\ &= 160 \text{ Hz} \end{aligned}$$





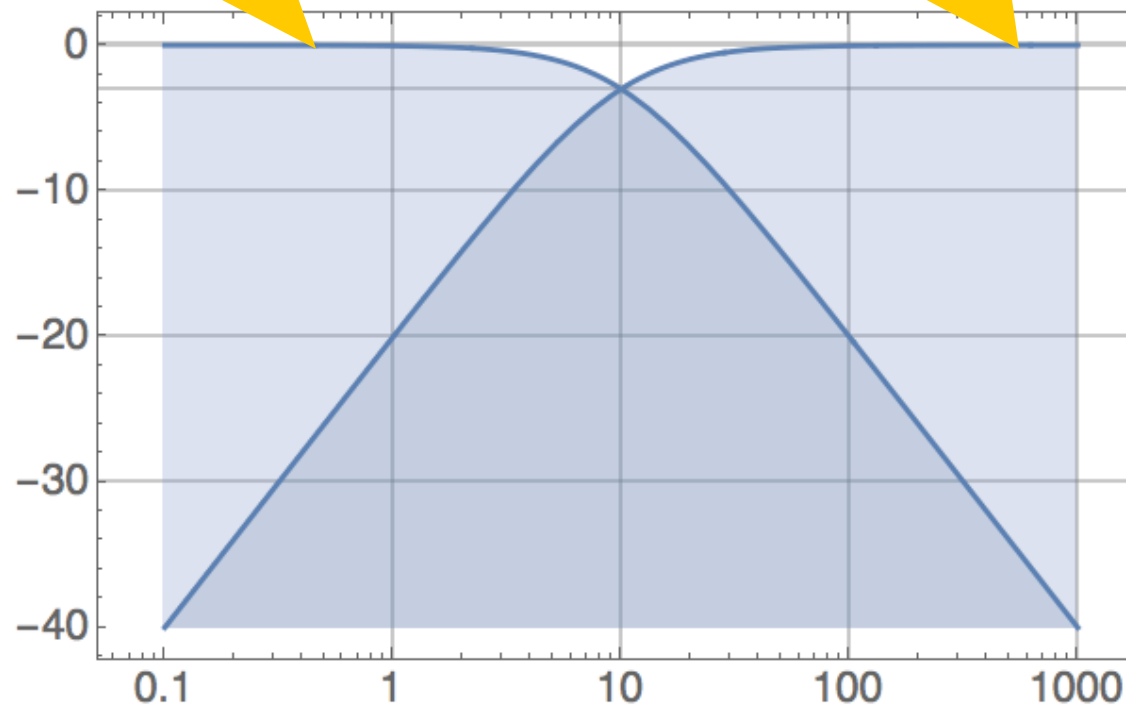
# Low Pass and High Pass

$$\mathbf{LP}[\omega] = \frac{1}{1 + \mathbf{i} \frac{\omega}{\omega_0}}$$

$$\mathbf{HP}[\omega] = \frac{\mathbf{i} \frac{\omega}{\omega_0}}{1 + \mathbf{i} \frac{\omega}{\omega_0}};$$

$$\mathbf{LPgain}(\omega) = \frac{1}{\sqrt{1 + \left(\frac{\omega}{\omega_0}\right)^2}}$$

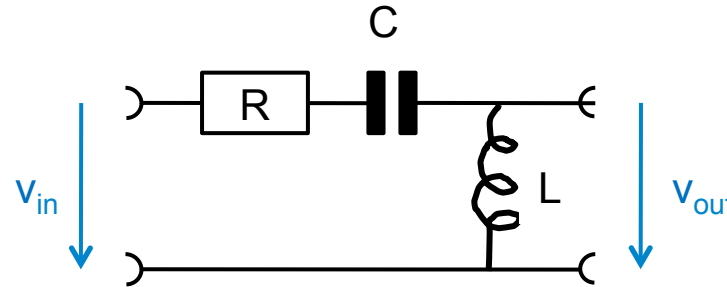
$$\mathbf{HPgain}(\omega) = \frac{\frac{\omega}{\omega_0}}{\sqrt{1 + \left(\frac{\omega}{\omega_0}\right)^2}}$$





# A More Complex Example

- Consider a (High Pass) filter with an inductor:

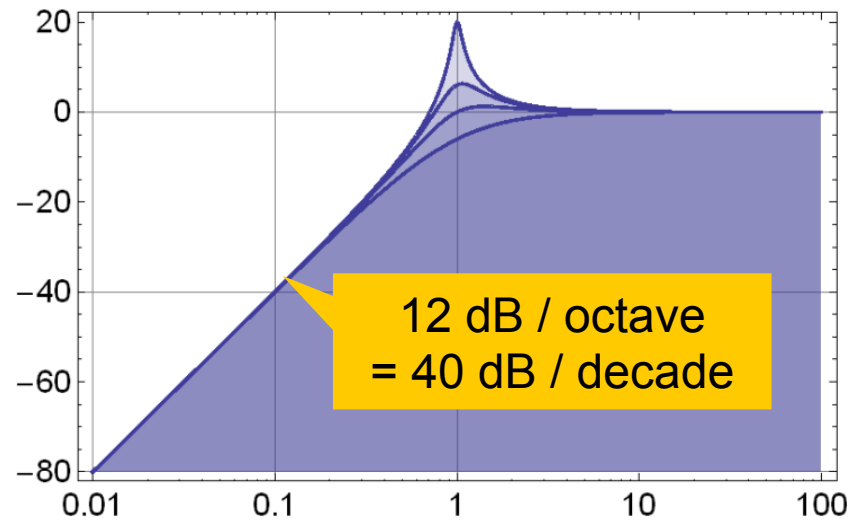


Mathematica  
Demo

- The transfer function is  $H(s) = (C L s^2)/(1 + C R s + C L s^2)$
- It is of 'second order' ( $s$  has exponent of 2 in denominator)

- Magnitude:  
 $L=C=1$   
 $R=0.1, 0.5, 1, 2$

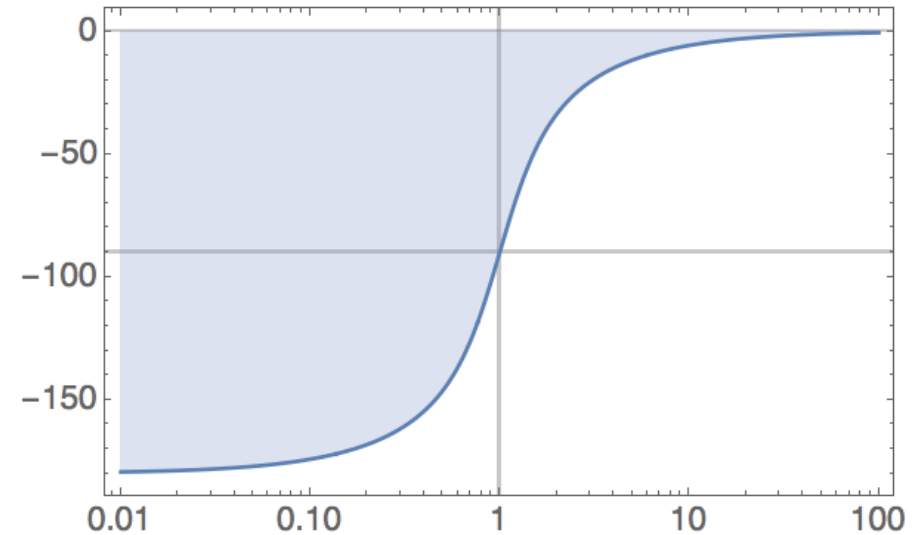
- 'Inductive peaking'





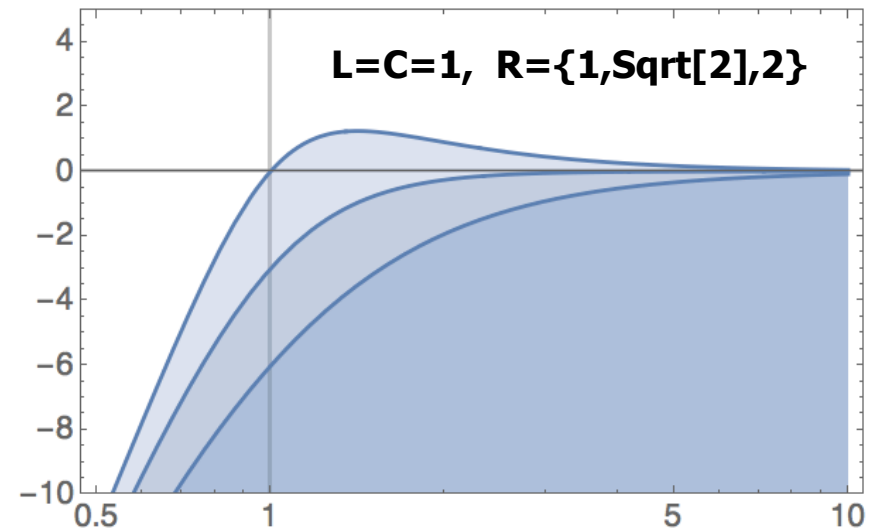
# Phase

## ■ Phase



## ■ For fun:

- When is filter steep & flat?
- Zoom to corner frequency:





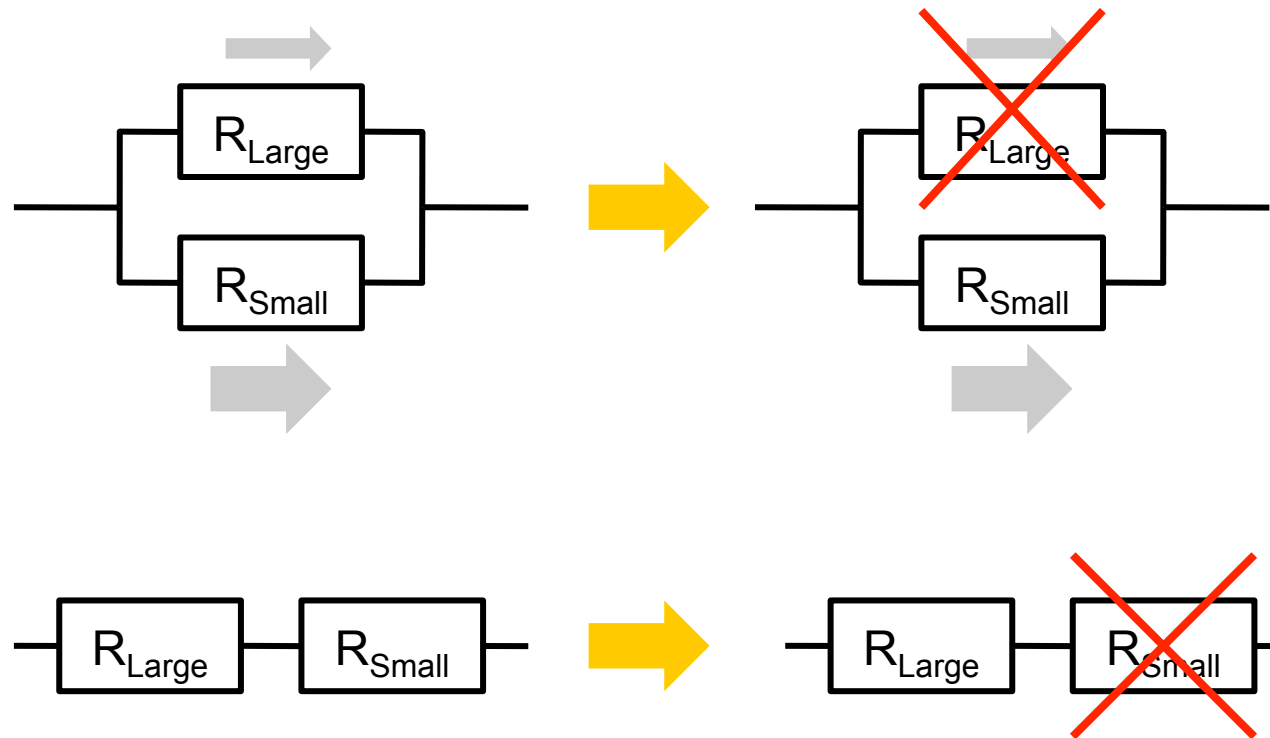


# CIRCUIT SIMPLIFICATIONS



# Large and Small Values

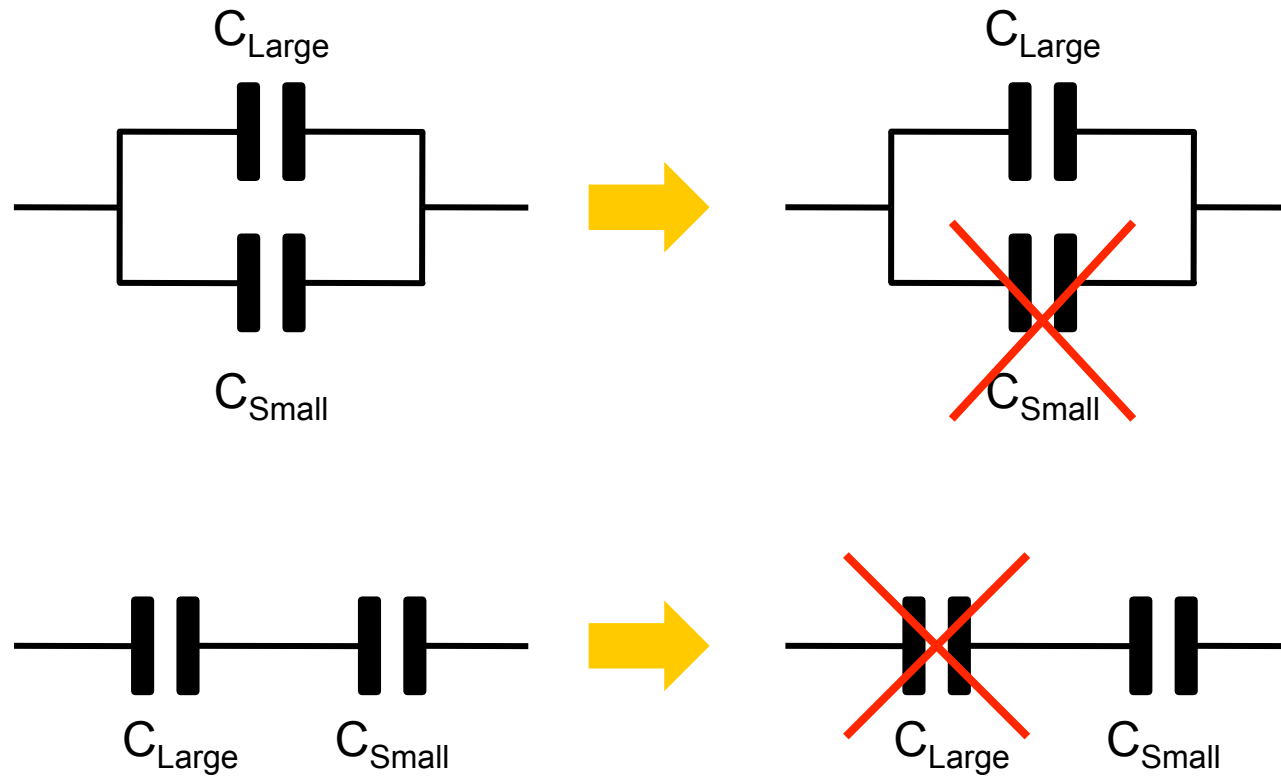
- To roughly understand behavior of circuits, only keep the dominant components:



- Eliminate *larger* or the *smaller* part (depending on circuit!)
- Error  $\sim$  ratio of components



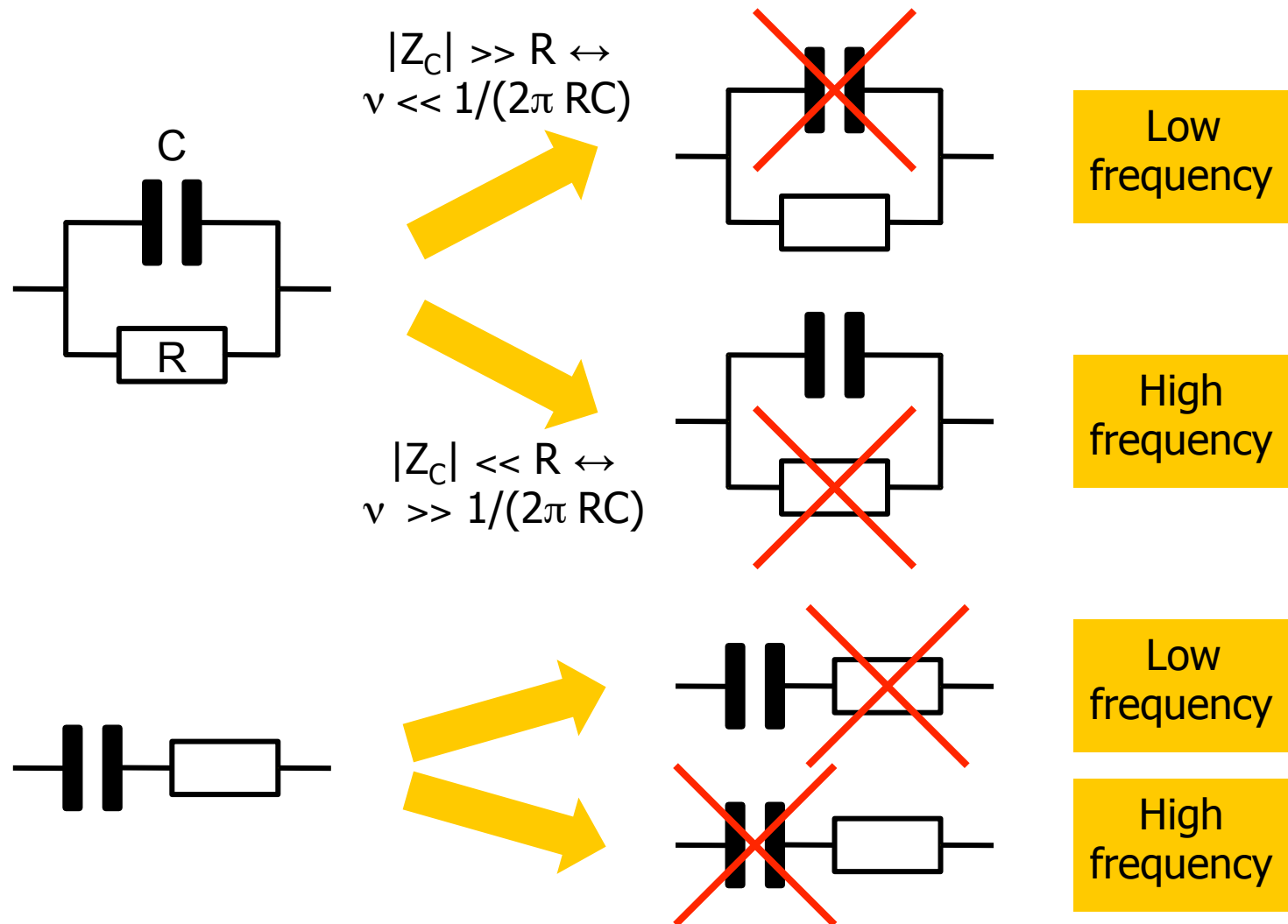
# The same for Capacitors





# Resistors AND Capacitors

- Behavior depends on frequency ( $|Z_C| = 1/(2\pi\nu C)$ )





# Fourier Decomposition

- Maybe later...
- Also: Step / Impulse response via inverse Laplace Transform

**Later**



## To Do

- S. 27: Bild:  $dU/dt \sim W$
- S. 31: Wieso  $U_0 \exp(i\omega t)$  weg ?
- Show that  $Z_C = Z_R$  at the corner frequency
- Phasensprung bei LCR checken!